

Participant of The Stock Exchanges of Hong Kong Limited 香港聯合交易所有限公司參與者 (B02164)
 Tung Yat Securities Limited carries on the business of dealing in securities and is licensed to carry on Type 1 regulated activity (dealing in securities) under the securities and Futures ordinance (CE No. BNC519)
 同一證券有限公司經營證券交易的業務，並根據證券及期貨條例獲發牌經營第一類受規管活動(證券交易)(中央編號: BNC519)

Charges for Hong Kong Securities Trading 香港股票交易收費表

Services 服務	Charges 收費
● General Transactions 一般交易	
Basic Commission 基本佣金	negotiable 面議
Stamp Duty 印花稅	0.1% on gross amount (round up to the nearest dollar) 每宗交易額之 0.1% (不足一港元亦作一港元計算)
SFC Transaction Levy 證監會交易徵費	0.0027% on gross amount 每宗交易額之 0.0027%
HKEX Trading Fee 香港交易所及結算所交易費	0.005% on gross amount 每宗交易費之 0.005%
Settlement Fee 結算費	0.008% on gross amount (Min HK\$3.00) 每宗交易額之 0.008% (最低\$3.00 港元)
● CCASS Depository Services 中央結算服務收費	
Stock Withdrawal (Physical) 提取股票 (實貨)	HK\$5.00 (Per Board Lot 每手股票) HK\$80.00 (Min 最低收費)
Transfer Deed Fee 轉手印花稅	HK\$5.00 (Per Sheet 每張股票計算)
Stock Registration 股票轉名	HK\$2.50 (Per Lot, Per Stock) + HK\$100.00 Handling charge 每隻股票, 每手計算\$2.50 + \$100.00 手續費
Stock Withdrawal via CCASS (SI) (Per Stock) 股票轉出-經中央結算 (每隻股票)	0.25% of Market Value (Min HK\$100.00) 按股票市值計 0.25% (最低收費 HK\$100.00)
Stock Withdrawal via CCASS (ISI) (Per Stock) 股票轉出-經中央結算 (每隻股票)	HK\$20.00 Handling Charge (Per Stock) 每隻股票手續費\$20.00
● Nominee Services & Corporate Actions 代理人服務及企業行動	
Scrip Fee (Book Close)-Deemed Book Close Scrip Fee 截止過戶費(沒有公司行動之中央結算截止過戶)	HK\$1.50 (Per Board Lot 每手股票)
Cash Dividend Collection 代收現金股息	0.5% on gross dividend (Min HK\$20.00) 股息之 0.5%(最低收費 HK\$20.00)
Cash Offer & Share Privatization 現金收購及股票私有化	0.15% on gross offer (Min HK\$100.00) 股息之 0.15%(最低收費 HK\$100.00 另加中央結算收費)
Warrant/ Callable Bull/ Bear Contracts Exercise/ Mandatory Call of Callable Bull/ Bear Contracts 行使認股權證 / 牛熊證 / 強制贖回牛熊證	HK\$50.00 Per Transaction and CCASS Fee 每項 HK\$50.00 另加中央結算收費
Rights & Warrants Exercise Fee 認購權及認股權證行使費	HK\$1.50(Per Board Lot 每手股票) (Min HK\$20.00)
Corporate Action Services Fee 代履行權責服務費	HK\$1.50 (Per Board Lot 每手股票) (Min HK\$20.00)
Claims for Dividend/ Bonus 代客追討股息/紅股	0.2% on gross dividend (Min HK\$500.00) and Registrar Fee HK\$2.50 per lot 討回之權益的 0.2%(最低收費 HK\$500.00)另加過戶處收費每手 HK\$2.50
● Other Services 其他費用	
EIPO Application (Non-financing & through our nominee) 電子認購新股(不融資及不經本公司代理人)	negotiable 面議
EIPO Application (Margin) 認購新股(保證金)	HK\$100.00 Handling Charge 港幣\$100.00 手續費
Telegraphic Transfer (T/T) 電匯	Bill at cost as incurred or negotiable 以成本價收回或商議
Account Services Fee 戶口服務費	Bill at cost as incurred or negotiable 以成本價收回或商議
SMS Services Fee 短訊服務費	China / Hong Kong / Macau or Taiwan HK\$1.00 each, another districts HK\$3.00 each 中國/香港/澳門及台灣均每次收取 HK\$1.00,其他地區則每次收取 HK\$3.00
Note: Charges & fees are subject to change without prior notice. THE PRICES OF SECURITIES CAN AND DOES FLUCTUATE SOMETIMES DRAMATICALLY, AND THE PRICE OF ANY INDIVIDUAL SECURITY MAY MOVE UP OR DOWN, AND MAY EVEN BECOME VALUELESS AND THAT THERE IS AN INHERENT RISK THAT LOSSES MAY BE INCURRED RATHER THAN PROFIT MADE AS A RESULT OF BUYING AND SELLING SECURITIES. 註:本公司將不時檢討服務收費而不作另行通知。 證券價格可能及必定會波動，任何個別證券的價格皆可上升或下跌，甚至可能變成毫無價值。買賣證券不一定獲利，而且存在著可能損失的風險。	

